



UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/839,538	04/23/2001	Koichi Nagaki	PU01-0167

CONFIRMATION NO. 2125

21254
MGINN & GIBB, PLLC
8321 OLD COURTHOUSE ROAD
SUITE 200
VIENNA, VA 22182-3817

FORMALITIES LETTER



OC000000006182299

Date Mailed: 06/14/2001



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$116.
 - \$36 for 2 total claims over 20.
 - \$80 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 956.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

07/20/2001 BNGUYEN1 00000089 09839538

01 FC:101	710.00 OP
02 FC:103	36.00 OP
03 FC:102	80.00 OP
04 FC:105	130.00 OP

A copy of this notice MUST be returned with the reply.

Seble

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

SS/ PU01-0167

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Nagaki et al.

Serial No.: 09/839,538

Group Art Unit: 2185

Filing Date: April 23, 2001

Examiner: Unknown

For: DISK DRIVE DEVICE

Assistant Commissioner of Patents
Washington, D.C. 20231



SUBMISSION OF DECLARATION

Sir:

In response to the Notice to File Missing Parts of Application dated June 14, 2001, submitted herewith is the signed declaration for the above-identified patent application, along with our check in the amount of \$996 to cover the \$710 application filing fee, the \$130 surcharge for the late filing of the declaration, \$36 for 2 total claims over 20, \$80 for 1 independent claim over 3, and \$40 for the assignment recordation fee.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-0481.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Sean M. McGinn".

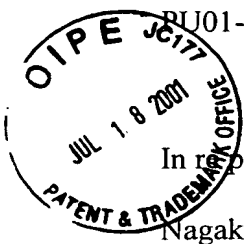
Sean M. McGinn

Registration No. 34,386

Date: July 18, 2001

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#4



PU01-0167

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Nagaki et al.

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Assistant Commissioner of Patents
Washington, D.C. 20231

SUBMISSION OF FORMAL DRAWINGS

Sir:

Submitted herewith are eighteen (18) sheets of formal drawings comprising figures 1 -18D for the above-identified patent application. Acknowledgment of receipt is respectfully requested. Acknowledgment of receipt is respectfully requested.

Respectfully submitted,

Sean M. McGinn

Registration No. 34,386

Date: July 18, 2001
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